

NOAA's Grants Management Division

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THE

Current

2015

What's New In Financial Assistance

Financial Assistance Workshop

GMD Connected: The New Decade

*Government Ethics Rules
For Grants Administrators and Grantees
Arthur Warren
Senior Counsel for Ethics*



FINANCIAL CONFLICTS OF INTEREST AVOID SELF-DEALING

**A NOAA EMPLOYEE MAY NOT WORK
ON A GRANT OR APPLICATION THAT
WILL AFFECT THE EMPLOYEE'S
FINANCIAL INTERESTS**



PERSONAL RELATIONSHIPS AVOID APPEARANCES OF FAVORITISM



A NOAA EMPLOYEE MAY NOT WORK ON A GRANT OR APPLICATION FROM AN ORGANIZATION IN WHICH THE EMPLOYEE IS ACTIVE OR HOLDS A POSITION OR BY WHICH SHE/HE WAS RECENTLY EMPLOYED

GIFTS

AVOID UNDUE INFLUENCE

A NOAA EMPLOYEE MAY NOT ACCEPT A GIFT FROM A GRANTEE OR APPLICANT, UNLESS AN EXCEPTION APPLIES, SUCH AS:

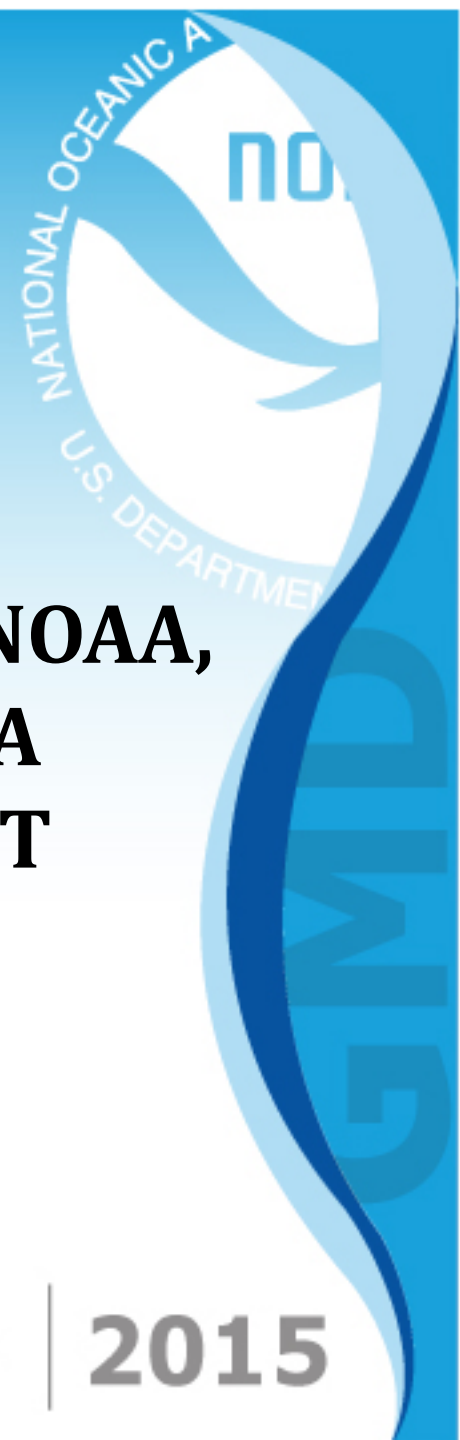
- A GIFT OF \$20 OR LESS**
- AN INVITATION TO A “WIDELY-ATTENDED GATHERING”**



GIFTS

AVOID UNDUE INFLUENCE

**A NOAA EMPLOYEE MAY NOT
ACCEPT A GIFT ON BEHALF OF NOAA,
SUCH AS A TRAVEL GIFT, FROM A
GRANTEE OR GRANT APPLICANT**



OUTSIDE ACTIVITIES AVOID DIVIDED LOYALTIES

A NOAA EMPLOYEE MAY NOT ACCEPT EMPLOYMENT WITH, OR PROVIDE VOLUNTARY SERVICES TO, AN ORGANIZATION, INCLUDING A GRANTEE OR APPLICANT, WITH MATTERS BEFORE THE EMPLOYEE'S OFFICE



USE OF GOVERNMENT RESOURCES AVOID MISUSE OF RESOURCES

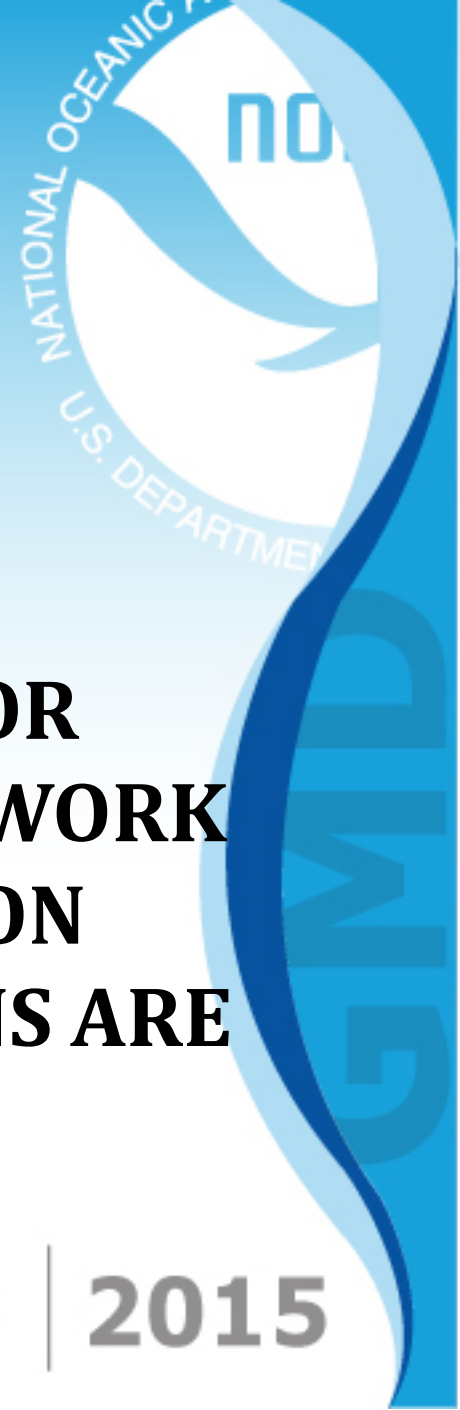
**NEITHER A NOAA EMPLOYEE NOR A
GRANTEE MAY USE GOVERNMENT
RESOURCES FOR ANYTHING OTHER THAN
AUTHORIZED PURPOSES**



SEEKING EMPLOYMENT WITH A GRANTEE

AVOID DIVIDED LOYALTIES

- **A NOAA EMPLOYEE MAY EXPLORE EMPLOYMENT WITH A GRANTEE OR APPLICANT, BUT MUST STOP ALL WORK ON THE GRANT OR AN APPLICATION WHILE EMPLOYMENT DISCUSSIONS ARE ONGOING**



RESTRICTIONS AFTER LEAVING GOVERNMENT SERVICE AVOID MISUSE OF POSITION

- **A NOAA EMPLOYEE MAY WORK FOR A GRANTEE AFTER LEAVING FEDERAL SERVICE, BUT:**
 - **MAY NOT CONTACT NOAA ABOUT GRANTS THE EMPLOYEE WORKED ON**
 - **MAY NOT DISCLOSE NON-PUBLIC INFORMATION**



RESTRICTIONS ON A FORMER GRANTEE WORKING FOR THE GOVERNMENT

- A GRANTEE MAY WORK FOR THE GOVERNMENT AFTER LEAVING THE GRANTEE ORGANIZATION, BUT CANNOT WORK ON ANY MATTERS IN WHICH THE ORGANIZATION IS A PARTY FOR ONE YEAR, UNLESS SPECIAL AUTHORIZATION IS GIVEN**

**HAVE QUESTIONS?
NEED ADVICE?**

- **CONTACT:
THE ETHICS LAW AND PROGRAMS
DIVISION**

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GMD

Financial Assistance *Workshop*

2015

